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JES6 U.S. PTO

Docket No. Halo-010PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certification under 37 CFR §1.10 (if applicable)

EM 427 196 973 US

"Express Mail" Label Number

11/24, 1997  
Date of Deposit

I hereby certify that this Transmittal letter, enclosed application, and any other documents referred to as enclosed herein are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Stephen E. Baldwin, Reg. No. 27,769  
(Name of Person Mailing Application)

*Stephen E. Baldwin*  
(Signature of Person Mailing Application)

**Transmittal of Utility Patent Application  
for Filing Under 37 CFR §1.53**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a continuation-in-part utility patent application by inventor(s): Peter Lu, Jeffrey Heaton, James W. Heaton, Tsang Kei Sun, Peter Loh Hang Pao, and Robert Loke Hang Lam entitled:

ELECTRONIC SURFACE MOUNT PACKAGE WITH EXTENDED SIDE RETAINING WALL

Enclosed are:

- x A duplicate copy of this Transmittal Letter.
- x One stamped, self-addressed postcard for the PTO Mail Room date stamp.
- x One utility patent application containing pages 1-18, and
  - x a Declaration for Patent Application,
  - x 1 copy of 11 sheets of informal drawings.
- Power of Attorney by Assignee and Exclusion of Inventor(s) under Rule 32.
- Verified Statement Claiming Small Entity Status.
- An Assignment with its Transmittal Sheet.

Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.

2. The filing fee has been calculated as shown below:

For:	(Col. 1)	(Col. 2)	Small Entity			Other Than a Small Entity	
	No. Filed	No. Extra	Rate	Fee		Rate	Fee
Basic Fee				\$ 395.00	or		\$ 790.00
Total Claims	8 - 20	0	x \$ 11 =	\$	or x \$ 22 =	\$	
Independent Claims	5 - 3	2	x \$ 41 =	\$ 82.00	or x \$ 82 =	\$	
9 Multiple Dependent Claim Presented			+ \$135 =	\$	or + \$270 =	\$	
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			TOTAL	\$ 477.00	or TOTAL	\$	

A check in the amount of \$ \_\_\_\_ is enclosed to cover the Filing Fee (\$ \_\_\_\_\_.00)

The Commissioner is hereby authorized to charge fees under 37 CFR §1.16 and §1.17 which may be required, or credit any overpayment, to Deposit Account No.

3. The enclosed application is a continuation-in-part of copending continuation-in-part application entitled ELECTRONIC SURFACE MOUNT PACKAGE, Serial No. 08/773,555 filed December 27, 1996, which is a continuation-in-part application of Serial No. 08/513,573, filed August 10, 1995, now U.S. Patent No. 5,656,985, and assigned to the same Assignee as the present application.

Respectfully submitted,



Stephen E. Baldwin  
Registration No. 27,769

Date: Nov. 24, 1997

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